## Synthetic Computability

Andrej Bauer

Department of Mathematics and Physics University of Ljubljana Slovenia

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# What is "synthetic" mathematics?

- Suppose we want to study mathematical structures forming a category C, such as:
  - smooth manifolds and differentiable maps
  - topological spaces and continuous maps
  - computable sets and computable maps
- Classical approach: objects are sets equipped with extra structure, morphisms preserve the structure.
- ► Synthetic approach: embed C in a suitable *mathematical universe* E (a model of intuitionistic set theory) and view structures as *ordinary sets* and morphisms as *ordinary maps* inside E.

# A synthetic universe for computability theory

- M. Hyland's *effective topos* Eff is the mathematical universe suitable for computability theory.
- In Eff all objects and morphisms are equipped with computability structure.
- We need not know how Eff is built—we just use the logic and axioms which are valid in it.
- ▶ In the next lecture we will learn more about Eff.

# External and internal view

Comparison of concepts as viewed by us (externally) and by mathematicians inside Eff (internally):

Symbol	External	Internal
N	natural numbers	natural numbers
R	<i>computable</i> reals	all reals
$f:\mathbb{N}\to\mathbb{N}$	<i>computable</i> map	<i>any</i> map
$e:\mathbb{N}\twoheadrightarrow A$	<i>computable</i> enumeration of A	<i>any</i> enumeration of <i>A</i>
{true, false}	truth values	decidable truth values
Ω	truth values of Eff	truth values
$\forall x$	<i>computably</i> for all <i>x</i>	for all <i>x</i>
$\exists x$	there exists <i>computable x</i>	there exists <i>x</i>
$P \lor \neg P$	decision procedure for P	P or not P

# Related Work

- Friedman [1971], axiomatizes coding and universal functions
- Moschovakis [1971] & Fenstad [1974], axiomatize computations and subcomputations
- Hyland [1982], effective topos
- Richman [1984], an axiom for effective enumerability of partial functions, extended in Bridges & Richman [1987]
- ▶ We shall follow Richman [1984] in style, and borrow ideas from Rosolini [1986], Berger [1983], and Spreen [1998].

## Outline

Introduction

- **Constructive Mathematics**
- Computability without Axioms
- Axiom of Enumerability
- Markov Principle
- The Topological View
- **Recursion Theorem**
- Inseparable Sets
- Conclusion

# Intuitionistic logic

- We use *intuitionistic logic*, more precisely the internal language of a topos.
- What is the status of Law of Excluded Middle (LEM)?

 $\forall p \in \Omega . (p \lor \neg p)$ 

"For every proposition *p*, *p* or not *p*."

In intuitionistic mathematics it can only be used in special cases, when *p* is *decidable*.

- At this point we do not know whether all propositions are decidable, but later one of our axioms will falsify LEM.
- ► The status of the Axiom of Choice will be discussed later.

## Basic sets and constructions

Basic sets:

$$\emptyset, \quad \mathbf{1}=\{*\}, \quad \mathbb{N}=\{0,1,2,\ldots\}$$

Set operations:

$$A \times B$$
,  $A + B$ ,  $B^A = A \rightarrow B$ ,  $\{x \in A \mid p(x)\}$ ,  $\mathcal{P}A$ 

• We say that *A* is

- *non-empty* if  $\neg \forall x \in A . \bot$ ,
- *inhabited* if  $\exists x \in A . \top$ .

## Relations and functions

• A relation  $R \subseteq A \times B$  is:

- single-valued if  $\langle x, y \rangle \in R \land \langle x, z \rangle \in R \implies y = z$ ,
- *total* if  $\forall x \in A . \exists y \in B . \langle x, y \rangle \in R$ ,
- *functional* if it is single valued and total.

• Every  $R \subseteq A \times B$  determines  $f : A \to \mathcal{P}B$ , and vice versa

 $f(x) = \{y \in B \mid \langle x, y \rangle \in R\}$  and  $\langle x, y \rangle \in R \iff y \in f(x)$ 

We say that *R* is the *graph* of *f*.

- Relations as functions:
  - single-valued relations are *partial functions*  $f : A \rightarrow B$ ,
  - total relations are *multi-valued functions*  $f : A \Rightarrow B$ ,
  - functional relations are just *functions*  $f : A \rightarrow B$ .

## Axiom of Choice

Axiom of Choice:

Every  $f : A \Rightarrow B$  has a choice function  $g : A \rightarrow B$  such that  $g(x) \in f(x)$  for all  $x \in A$ .

This we do not accept because it implies LEM.

• We accept *Number Choice*:

*Every*  $f : \mathbb{N} \Longrightarrow B$  *has a choice function*  $g : \mathbb{N} \to B$ *.* 

▶ We also accept Dependent Choice:  
Given 
$$x \in A$$
 and  $h : A \Rightarrow A$ , there exists  $g : \mathbb{N} \to A$   
such that  $g(0) = x$  and  $g(n + 1) \in h(g(n))$  for all  
 $n \in \mathbb{N}$ .

This is a form of simple recursion for multi-valued functions.

## Sets of truth values

The set of truth values:

 $\Omega = \mathcal{P}\mathbf{1}$ truth  $\top = \mathbf{1}$ , falsehood  $\bot = \emptyset$ 

► The set of *decidable* truth values:

$$\mathbf{2} = \{0,1\} = \{p \in \Omega \mid p \lor \neg p\} ,$$

where we write  $1 = \top$  and  $0 = \bot$ .

▶ The set of *classical* truth values:

$$\Omega_{\neg\neg} = \{ p \in \Omega \mid \neg\neg p = p \} .$$

►  $2 \subseteq \Omega_{\neg \neg} \subseteq \Omega$ .

## Decidable and classical sets

A subset S ⊆ A is equivalently given by its characteristic map

$$\chi_S: A \to \Omega, \qquad \chi_S(x) = (x \in S).$$

• A subset  $S \subseteq A$  is *decidable* if  $\chi_S : A \rightarrow 2$ , equivalently

$$\forall x \in A \, . \, (x \in S \lor x \notin S) \ .$$

• A subset  $S \subseteq A$  is *classical* if  $\chi_S : A \to \Omega_{\neg \neg}$ , equivalently

$$\forall x \in A . (\neg (x \notin S) \implies x \in S) .$$

## Enumerable & finite sets

• *A* is *finite* if there exist  $n \in \mathbb{N}$  and a surjection

 $e: \{1,\ldots,n\} \twoheadrightarrow A,$ 

called a *listing* of *A*. An element may be listed more than once.

► *A* is *enumerable* (*countable*) if there exists a surjection

$$e:\mathbb{N}\twoheadrightarrow \mathbf{1}+A,$$

called an *enumeration* of *A*. For inhabited *A* we may take  $e : \mathbb{N} \twoheadrightarrow A$ .

• *A* is *infinite* if there exists an injective  $a : \mathbb{N} \rightarrow A$ .

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## Lawvere $\rightarrow$ Cantor

#### Theorem (Lawvere)

*If*  $e : A \rightarrow B^A$  *is surjective then* B *has the fixed point property: for every*  $f : B \rightarrow B$  *there is*  $x_0 \in B$  *such that*  $f(x_0) = x_0$ .

#### Proof.

Given  $f : B \to B$ , define g(y) = f(e(y)(y)). Because *e* is surjective there is  $x \in A$  such that e(x) = g. Then e(x)(x) = f(e(x)(x)), so  $x_0 = e(x)(x)$  is a fixed point of *f*.

#### Corollary (Cantor)

*There is no surjection*  $e : A \rightarrow \mathcal{P}A$ *.* 

#### Proof.

 $\mathcal{P}A = \Omega^A$  and  $\neg : \Omega \to \Omega$  does not have a fixed point.

# Non-enumerability of Cantor and Baire space

Are there any sets which are *not* enumerable? Yes, for example  $\mathcal{PN}$ , and also:

Corollary

 $\mathbf{2}^{\mathbb{N}}$  and  $\mathbb{N}^{\mathbb{N}}$  are not enumerable.

## Proof.

**2** and  $\mathbb{N}$  do not have the fixed-point property.

We have proved our first synthetic theorem:

Theorem (external translation of above corollary)

*The set of recursive sets and the set of total recursive functions cannot be computably enumerated.* 

# **Projection Theorem**

Recall: the *projection* of  $S \subseteq A \times B$  is the set

$$\{x \in A \mid \exists y \in B \, . \, \langle x, y \rangle \in S\} \; .$$



# **Projection Theorem**

## Theorem (Projection)

A subset of  $\mathbb{N}$  is enumerable iff it is the projection of a decidable subset of  $\mathbb{N} \times \mathbb{N}$ .

## Proof.

If *A* is enumerated by  $e : \mathbb{N} \to 1 + A$  then *A* is the projection of the *graph* of *e*,

$$\{\langle m,n\rangle\in\mathbb{N}\times\mathbb{N}\mid m=e(n)\}.$$

If *A* is the projection of  $B \subseteq \mathbb{N} \times \mathbb{N}$ , define  $e : \mathbb{N} \times \mathbb{N} \to 1 + A$  by

 $e\langle m,n
angle = ext{if} \ \langle m,n
angle \in B ext{ then }m ext{ else }\star$  .

## Semidecidable sets

• A *semidecidable truth value*  $p \in \Omega$  is one that is equivalent to

 $\exists n \in \mathbb{N} . d(n)$ 

for some  $d : \mathbb{N} \to \mathbf{2}$ .

The set of semidecidable truth values:

$$\Sigma = \{ p \in \Omega \mid \exists d \in \mathbf{2}^{\mathbb{N}} . (p \iff \exists n \in \mathbb{N} . d(n)) \} .$$

This is a *dominance*.

► 
$$2 \subseteq \Sigma \subseteq \Omega$$
.

• A subset  $S \subseteq A$  is *semidecidable* if  $\chi_S : A \to \Sigma$ .

# Semidecidable subsets of $\mathbb{N}$

## Theorem

*The enumerable subsets of*  $\mathbb{N}$  *are the semidecidable subsets of*  $\mathbb{N}$ *.* 

#### Proof.

An enumerable  $A \subseteq \mathbb{N}$  is the projection of a decidable  $B \subseteq \mathbb{N} \times \mathbb{N}$ . Then  $n \in A$  iff  $\exists m \in \mathbb{N} . \langle n, m \rangle \in B$ . Conversely, if  $A \in \Sigma^{\mathbb{N}}$ , by Number Choice there is  $d : \mathbb{N} \times \mathbb{N} \to \mathbf{2}$  such that  $n \in A$  iff  $\exists m \in \mathbb{N} . d(m, n)$ .

The enumerable subsets of  $\mathbb{N}$ :

$$\mathcal{E} = \Sigma^{\mathbb{N}}$$

Note: at this point we do *not* know whether  $\mathcal{E} = \mathcal{P}\mathbb{N}$ .

# The Single-Value Theorem

A *selection* for  $R \subseteq A \times B$  is a partial map  $f : A \rightarrow B$  such that, for every  $x \in A$ ,

$$(\exists y \in B \, . \, R(x, y)) \implies f(x) \downarrow \land R(x, f(x)) \; .$$

This is like a choice function, expect it only chooses when there is something to choose from.

Theorem (Single Value Theorem)

*Every semidecidable relation*  $R \in \Sigma^{\mathbb{N} \times \mathbb{N}}$  *has a*  $\Sigma$ *-partial selection.* 

## Partial functions

• Given a single-valued  $R \subseteq B$ , the corresponding  $f: A \to \mathcal{P}B$  always factors through

$$\widetilde{B} = \{ S \in \mathcal{P}B \mid \forall x, y \in B \, : \, (x \in S \land y \in S \implies x = y) \}.$$

- Thus partial maps  $f : A \rightarrow B$  are just ordinary maps  $f : A \rightarrow \widetilde{B}$ .
- ▶ Write  $f(x) \downarrow$  when f is defined at x, i.e.,  $\exists y \in B : y \in f(x)$ .

# $\Sigma$ -partial functions

## When does a partial $f : \mathbb{N} \rightarrow \mathbb{N}$ have an enumerable graph?

## Proposition

 $f : \mathbb{N} \to \widetilde{\mathbb{N}}$  has an enumerable graph iff  $f(n) \downarrow \in \Sigma$  for all  $n \in \mathbb{N}$ .

Define the *lifting* operation

$$A_{\perp} = \{ S \in \widetilde{A} \mid (\exists x \in A \, . \, x \in S) \in \Sigma \} \; .$$

For  $f : A \to B$  define  $f_{\perp} : A_{\perp} \to B_{\perp}$  to be

$$f_{\perp}(s) = \{f(x) \mid x \in s\} .$$

A  $\Sigma$ -partial function is a function  $f : A \to B_{\perp}$ .

# Domains of $\Sigma$ -partial functions

The support (a.k.a. domain) of  $f : A \rightarrow B$  is  $\{x \in A \mid f(x)\downarrow\}$ .

## Proposition

A subset is semidecidable iff it is the support of a  $\Sigma$ -partial function.

#### Proof.

A semidecidable subset  $S \in \Sigma^A$  is the domain of its characteristic map  $\chi_S : A \to \Sigma = \mathbf{1}_\perp$ . Conversely, if  $f : A \to B_\perp$  is  $\Sigma$ -partial then its domain is the set  $\{x \in A \mid f(x)\downarrow\}$ , which is obviously semidecidable.

#### Theorem (External translation)

A set is semidecidable iff it is the domain (support) of a partial computable map.

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# Axiom of Enumerability

## Axiom (Enumerability)

There are enumerably many enumerable sets of numbers.

Let  $W : \mathbb{N} \twoheadrightarrow \mathcal{E}$  be an enumeration.

Proposition

 $\Sigma$  and  ${\mathcal E}$  have the fixed-point property.

#### Proof.

By Lawvere,  $W : \mathbb{N} \twoheadrightarrow \mathcal{E} = \Sigma^{\mathbb{N}} \cong \Sigma^{\mathbb{N} \times \mathbb{N}} \cong \mathcal{E}^{\mathbb{N}}$ .

## The Law of Excluded Middle Fails

The Law of Excluded Middle says  $\mathbf{2} = \Omega$ .

## Corollary

The Law of Excluded Middle is false.

#### Proof.

Among the sets  $\mathbf{2} \subseteq \Sigma \subseteq \Omega$  only the middle one has the fixed-point property, so  $\mathbf{2} \neq \Sigma \neq \Omega$ .

## Immune and Simple Sets

- A set is *immune* if it is neither finite nor infinite.
- A set is *simple* if it is open and its complement is immune.

## Theorem

*There exists an immune subset of*  $\mathbb{N}$ *.* 

## Proof.

Following Post, consider  $P = \{ \langle m, n \rangle \in \mathbb{N} \times \mathbb{N} \mid n > 2m \land n \in W_m \}$ , and let  $f : \mathbb{N} \to \mathbb{N}_{\perp}$  be a selection for *P*. We claim that

$$S = \operatorname{im}(f) = \{n \in \mathbb{N} \mid \exists m \in \mathbb{N} . f(m) = n\}$$

is simple and  $\mathbb{N} \setminus S$  immune. Because f(m) > 2m the set  $\mathbb{N} \setminus S$  cannot be finite.

For any infinite enumerable set  $U \subseteq \mathbb{N} \setminus S$  with  $U = W_m$ , we have  $f(m) \downarrow, f(m) \in W_m = U$ , and  $f(m) \in S$ , hence U is not contained in  $\mathbb{N} \setminus S$ .

# Enumerability of $\mathbb{N} \to \mathbb{N}_{\perp}$

## Proposition

 $\mathbb{N} \to \mathbb{N}_{\perp}$  is enumerable.

#### Proof.

Let  $V : \mathbb{N} \to \Sigma^{\mathbb{N} \times \mathbb{N}}$  be an enumeration. By Single-Value Theorem and Number Choice, there is  $\varphi : \mathbb{N} \to (\mathbb{N} \to \mathbb{N}_{\perp})$  such that  $\varphi_n$  is a selection of  $V_n$ . The map  $\varphi$  is surjective, as every  $f : \mathbb{N} \to \mathbb{N}_{\perp}$  is the only selection of its graph.

## Corollary (Formal Church's Thesis)

 $\mathbb{N}^{\mathbb{N}}$  is sub-enumerable (because  $\mathbb{N}^{\mathbb{N}} \subseteq \mathbb{N}^{\mathbb{N}}_{\perp}$ ).

In other words,  $\forall f \in \mathbb{N}^{\mathbb{N}} . \exists n \in \mathbb{N} . f = \varphi_n$ .

End of Part I

# Walk around and rest your brain for 10 minutes.

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# Markov Principle

- If a binary sequence *a* ∈ 2<sup>N</sup> is not constantly 0, does it contain a 1?
- ▶ For  $p \in \Sigma$ , does  $p \neq \bot$  imply  $p = \top$ ?
- Is  $\Sigma \subseteq \Omega_{\neg\neg}$ ?

## Axiom (Markov Principle)

A binary sequence which is not constantly 0 contains a 1.

## Post's Theorem

## Theorem

For all  $p \in \Omega$ ,

$$p \in \mathbf{2} \iff p \in \Sigma \land \neg p \in \Sigma$$
.

## Proof.

⇒ If 
$$p \in \mathbf{2}$$
 then  $\neg p \in \mathbf{2}$ , therefore  $p, \neg p \in \mathbf{2} \subseteq \Sigma$ .  
⇐ If  $p \in \Sigma$  and  $\neg p \in \Sigma$  then  $p \lor \neg p \in \Sigma \subseteq \Omega_{\neg\neg\neg}$ , therefore

$$p \lor \neg p = \neg \neg (p \lor \neg p) = \neg (\neg p \land \neg \neg p) = \neg \bot = \top ,$$

as required.

# Phoa's principle

What does  $\Sigma \rightarrow \Sigma$  look like?

Theorem (Phoa's Principle)

*For every*  $f : \Sigma \to \Sigma$  *and*  $x \in \Sigma$ *,* 

$$f(x) = (f(\perp) \lor x) \land f(\top)$$
.

The proof uses Enumeration axiom and Markov Principle. The principle says that  $\Sigma \to \Sigma$  is a retract of  $\Sigma \times \Sigma$  with

- section:  $f \mapsto \langle f(\bot), f(\top) \rangle$
- retraction:  $(u, v) \mapsto \lambda x : \Sigma . (u \lor x) \land v$

A consequence is monotonicity of  $f : \Sigma \to \Sigma$ : if  $x \leq y$  then

$$f(x) = (f(\bot) \lor x) \land f(\top) \le (f(\bot) \lor y) \land f(\top) = f(y) .$$

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# The Topological View

The topological view:

semidecidable subsets = open subsets .

- $\Sigma$  is the *Sierpinski space*: the space on two points  $\bot$ ,  $\top$  with  $\{\top\}$  open and  $\{\bot\}$  closed.
- The topology of A is  $\Sigma^A$ .
- "All functions are continuous."

Given any  $f : A \to B$  and  $U \in \Sigma^B$ , the set  $f^{-1}(U)$  is open because it is classified by  $U \circ f : A \to \Sigma$ .

# Topological Exterior and Creative Sets

- The *exterior* of an open set is the largest open set disjoint from it.
- An open set U ∈ Σ<sup>A</sup> is *creative* if it is without exterior: every V ∈ Σ<sup>A</sup> disjoint from U can be enlarged and still be disjoint from U.

#### Theorem

*There exists a creative subset of*  $\mathbb{N}$ *.* 

#### Proof.

The familiar  $K = \{n \in \mathbb{N} \mid n \in \mathbb{W}_n\}$  is creative. Given any  $V \in \mathcal{E}$  with  $V = \mathbb{W}_k$  and  $K \cap V = \emptyset$ , we have  $k \notin V$  and  $k \notin K$ , so  $V' = V \cup \{k\}$  is larger and still disjoint from *K*.

The generic convergent sequence

▶ The one-point compactification of  $\mathbb{N}$  is

$$\mathbb{N}^+ = \{a : \mathbb{N} \to \mathbf{2} \mid \forall n \in \mathbb{N} . a_n \leq a_{n+1} \}.$$

• A natural number *n* is represented by

$$\underbrace{0,0,\ldots,0}_n,1,1,\ldots$$

- Infinity  $\infty$  corresponds to  $0, 0, 0, \dots$
- $\Sigma$  is a quotient of  $\mathbb{N}^+$  by  $q : \mathbb{N}^+ \twoheadrightarrow \Sigma$ ,

$$q(a) = (a < \infty) = (\exists n \in \mathbb{N} . a_n = 1) .$$

# The topology of $\mathbb{N}^+$

#### Theorem

*Given*  $U : \mathbb{N}^+ \to \Sigma$ *, if*  $\infty \in U$  *then*  $n \in U$  *for some*  $n \in \mathbb{N}$ *.* 

#### Proof.

By Markov principle, it suffices to show that  $\forall n \in \mathbb{N} . n \notin U$  implies  $\infty \notin U$ . Suppose  $U : \mathbb{N}^+ \to \Sigma$  such that  $\forall n \in \mathbb{N} . n \notin U$ . Define a map  $f : \Sigma \to \Sigma$  by f(q(a)) = U(a). By monotonicity of f,

$$\perp \leq U(\infty) = f(\perp) \leq f(\top) = \perp .$$

# The topology of an $\omega$ -cpo

A  $\omega$ -*cpo* is a poset (P,  $\leq$ ) in which increasing chains have suprema.

## Theorem

An open subset  $U: P \to \Sigma$  is

- upward closed:  $x \in U \land x \leq y \implies y \in U$
- ▶ inaccessible by chains: given a chain  $a : \mathbb{N} \to P$ , if  $\bigvee_k a_k \in U$ then  $a_k \in U$  for some  $k \in \mathbb{N}$ .

#### Proof.

(a) given  $x \in U$  and  $x \leq y$ , define  $f : \mathbb{N}^+ \to P$  by

$$f(u) = \bigvee_{k \in \mathbb{N}} \text{ if } k < u \text{ then } x \text{ else } y$$
 .

Then  $x = f(\infty) \in U$  hence for some  $u < \infty$  we have  $y = f(u) \in U$ . (b) Similarly, consider  $f(u) = \bigvee_{k \in \mathbb{N}} a_{\min(k,u)}$ .

## The Rice-Shapiro Theorem

► A *base* for an  $\omega$ -cpo  $(P, \leq)$  is an enumerable  $B \subseteq P$  such that

- for all  $b \in B$  and  $x \in P$  we have  $(b \le x) \in \Sigma$ ,
- every  $x \in P$  is the supremum of a chain of basic elements.

Each basic  $b \in B$  determines a *basic open*  $\uparrow b = \{x \in P \mid b \le x\}.$ 

• Example: a base for  $\Sigma^{\mathbb{N}}$  is the family of finite subsets of  $\mathbb{N}$ .

#### Theorem (Rice-Shapiro)

In an  $\omega$ -cpo with a base every open is the union of basic opens.

#### Proof.

 $U: P \to \Sigma$  is the union of  $\{\uparrow b \mid b \in U\}$ .

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## Focal sets

A *focal set* is a set A together with a map ε<sub>A</sub> : A<sub>⊥</sub> → A such that ε<sub>A</sub>({x}) = x for all x ∈ A:



The *focus* of *A* is  $\perp_A = \epsilon_A(\perp)$ .

A lifted set A<sub>⊥</sub> is always focal (because lifting is a monad whose unit is {−}).

## Enumerable focal sets

- Enumerable focal sets, known as *Eršov complete sets*, have good properties.
- A *flat domain*  $A_{\perp}$  is focal. It is enumerable if *A* is decidable and enumerable.
- If *A* is enumerable and focal then so is  $A^{\mathbb{N}}$ :

$$\mathbb{N} \xrightarrow{\varphi} \mathbb{N}_{\perp}^{\mathbb{N}} \xrightarrow{e_{\perp}^{\mathbb{N}}} A_{\perp}^{\mathbb{N}} \xrightarrow{\epsilon_{A}^{\mathbb{N}}} A^{\mathbb{N}}$$

Some enumerable focal sets are

$$\Sigma^{\mathbb{N}}, \quad \mathbf{2}^{\mathbb{N}}_{\perp}, \quad \mathbb{N}^{\mathbb{N}}_{\perp} \ .$$

## **Recursion Theorem**

## Theorem (Recursion Theorem)

*If*  $A^{\mathbb{N}}$  *is enumerable then every*  $f : A \rightrightarrows A$  *has a fixed point, i.e.,*  $x \in A$  *such that*  $x \in f(x)$ *.* 

#### Proof.

Let  $\ell : \mathbb{N} \to A^{\mathbb{N}}$  be an enumeration. Then  $e : \mathbb{N} \to A$  defined by  $e(k) = \ell(k)(k)$  is onto as well. Let  $h : \mathbb{N} \to A$  be a choice map such that  $h(n) \in f(e(n))$  for all  $n \in \mathbb{N}$ . There is  $j \in \mathbb{N}$  such that  $\ell(j) = h$ , from which we get a fixed point  $e(j) = \ell(j)(j) = h(j) \in f(e(j))$ .

**Note:** The theorem requires *no* synthetic axioms, but we need the Axiom of Enumerability to find interesting examples of such *A*, e.g., enumerable focal sets.

# **Classical Recursion Theorem**

## Corollary (Classical Recursion Theorem)

For every  $f : \mathbb{N} \to \mathbb{N}$  there is  $n \in \mathbb{N}$  such that  $\varphi_{f(n)} = \varphi_n$ .

## Proof.

In Recursion Theorem, take the enumerable focal set  $A = \mathbb{N}_{\perp}^{\mathbb{N}}$  and the multi-valued function

$$F(g) = \{h \in \mathbb{N}^{\mathbb{N}}_{\perp} \mid \exists n \in \mathbb{N} \, . \, g = \varphi_n \wedge h = \varphi_{f(n)}\} \, .$$

There is *g* such that  $g \in F(g)$ . Thus there exists  $n \in \mathbb{N}$  such that  $\varphi_n = g = h = \varphi_{f(n)}$ .

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# Plotkin's Domain $2^{\mathbb{N}}_{\perp}$

- In a *partially ordered set* (P, ≤) we say that x and y are *incomparable* if x ≤ y and y ≤ x.
- Must there always be a maximal element above an element of a poset?
- The set of Σ-partial binary functions N → 2⊥ is a partially ordered:

$$f \leq g \iff \forall n \in \mathbb{N} . f(n) \subseteq g(n) .$$

This is *Plotkin's universal domain*.

## Inseparable sets

#### Theorem

*There exists an element of*  $\mathbb{N} \to 2_{\perp}$  *that is inconsistent with every maximal element.* 

#### Proof.

Because  $2_{\perp}$  is focal and enumerable,  $2_{\perp}^{\mathbb{N}}$  is as well. Let  $\psi : \mathbb{N} \twoheadrightarrow 2_{\perp}^{\mathbb{N}}$  be an enumeration, and let  $t : 2_{\perp} \to 2_{\perp}$  be the isomorphism  $t(x) = \neg_{\perp} x$  which exchanges 0 and 1, and fixes  $\perp$ . Consider  $a \in 2_{\perp}^{\mathbb{N}}$  defined by  $a(n) = t(\psi_n(n))$ . If  $b \in 2_{\perp}^{\mathbb{N}}$  is maximal with  $b = \psi_k$ , then  $a(k) = \neg \psi_k(k) = \neg b(k)$ . Because a(k) and b(k) are both total and different they are inconsistent. Hence a and b are inconsistent.

## Conclusion

- The theme: we should look for *elegant* presentations of structures we study. They can lead to new intuitions (and destroy old ones).
- These slides, and more, at math.andrej.com.

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